OPEN GOVERNMENT DATA IN CHILE

RODRIGO BASOALTO WALKER

Thesis submitted to the Office of Research and Graduate Studies in partial fulfillment of the requirements for the degree of Master of Science in Engineering

Advisor:
JAIME NAVÓN

Santiago de Chile, August 2012

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Ad Iesum per Mariam.
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Contents

Acknowledgements .......................................................... v
List of Figures ................................................................. viii
Abstract ........................................................................... ix
Resumen ............................................................................. x

Chapter 1. Introduction ....................................................... 1
  1.1. Transparency ............................................................. 2
  1.2. Open Government Data .............................................. 3
  1.3. Data formats ............................................................. 4
    1.3.1. Linked Data ....................................................... 6
  1.4. Licensing ................................................................. 7
  1.5. Access to data .......................................................... 8

Chapter 2. Open Government Data in Chile .......................... 10
  2.1. Introduction ............................................................. 10
    2.1.1. Current state of transparency in Chile .................... 10
    2.1.2. Open Government Data ....................................... 11
  2.2. Current state of OGD ................................................ 12
    2.2.1. OGD in developed countries .................................. 12
    2.2.2. Progress of OGD in Chile and other developing countries .... 13
  2.3. Implementing OGD in Chile ......................................... 14
    2.3.1. Learn from other countries’ experience ..................... 15
    2.3.2. Start small ......................................................... 15
    2.3.3. 5-star ranking: point to the 3-4 star ........................ 16
    2.3.4. Ease of access: the importance of the single data repository .... 16
    2.3.5. Awareness is essential for adoption ........................ 17
Chapter 2. Putting the data to work

2.4. Getting the data
2.4.2. Preparing the data
2.4.3. Displaying the data

Chapter 3. Conclusion and Future Research

3.1. Results and lessons learned
3.1.1. State of the art
3.1.2. Transparency and OGD in Chile
3.1.3. Recommendations and good practices
3.1.4. Application
3.2. Future work
3.2.1. Computer science
3.2.2. Other disciplines

References
List of Figures

2.1 Map of schools in downtown Santiago .......................... 19
Governments, in their functions, collect and produce data: budgets, statistics, contracts, etc. Most of this data rightfully belongs to the people, so many countries have transparency laws to ensure it’s made available. All this data could be used for many purposes by citizens if it were in appropriate, computer-readable formats and under permissive licenses. Analyses, visualizations, applications, and businesses could be created using this pool of data. The idea of Open Government Data (OGD) consists of publishing this information freely in the web, and in open, standard formats, suitable for computer processing.

OGD is well on its way in some countries, and just starting in others like Chile. Good design decisions and adequate management can lead to great benefits at a very low cost for the state.

In this thesis we introduce the concepts and the current state of transparency, OGD, data formats, and data usage rights. We analyze the state of OGD, assessing its adoption, and proposing a few necessary steps towards establishing a healthy OGD environment. The research is focused particularly in Chile, as a good example of a developing country. We build on top of the experiences that the US and the UK gathered from their own OGD programs, and on guidelines laid out by the scientific community.

As a proof of concept, we developed a simple application, and documented the process. This allowed us to better assess the state of Chile’s OGD portal and data quality. Using datasets from the Ministry of Education, we created a map to visualize all schools and their scores as colored markers.

Keywords: e-Government, Open Government Data, Linked Data, Data Web
RESUMEN

Los gobiernos producen datos, incluso sin quererlo: presupuestos, estadísticas, contratos, etc. La mayor parte de estos datos pertenece a la ciudadanía, y por eso existen leyes que aseguran su derecho a acceder a ellos. Estos datos podrían ser usados por los ciudadanos para muchos fines si estuvieran disponibles en formatos apropiados para su procesamiento computarizado y bajo licencias que permitan su uso. Podrían analizarse, o desarrollarse aplicaciones usando los datos. La idea de Open Government Data (datos abiertos o públicos del gobierno, OGD) trata de la publicación de esta información en la web, en formatos estándares y abiertos, y con licencias de uso permisivas.

Muchos países han avanzado en OGD, publicando grandes catálogos de datos, como Estados Unidos y el Reino Unido. En los países en desarrollo, hay avances, pero queda mucho camino por recorrer. Una buena implementación de OGD puede traer muchos beneficios, a un bajo costo.

En esta tesis, describimos los conceptos de transparencia, OGD, formatos, y licencias de uso. Analizamos el estado del arte en el mundo y el nivel de adopción de OGD. El estudio está enfocado en Chile, como modelo de país en vías de desarrollo. Por eso proponemos algunas recomendaciones para la implementación de OGD, en países de estas características, que creemos que llevarán a un buen ecosistema de OGD. Aprovechamos las experiencias de grandes países que ya han avanzado en OGD, y los avances de la comunidad científica.

Como una prueba de concepto, creamos un mapa usando datos del Ministerio de Educación de Chile. En él mostramos información de los colegios, marcando de colores una métrica del rendimiento académico. Esto nos permitió adentrarnos en el ambiente de OGD de Chile, y conocer la calidad de los datos y los procesos para trabajar con ellos.

**Palabras Claves:** e-Gobierno, Datos Abiertos, Web Semántica, Web de Datos
Chapter 1. INTRODUCTION

Everyday and all the time, governments have to work with data. They have to make decisions, evaluate the results of projects, among a myriad of other tasks, using data. Governments produce data, they consume it, process it, transform it, and present it in many different forms. They do this both for their internal use and for publishing.

This data rightfully belongs to the people, with the exception of secret data or citizens’ personal information. This data has been paid for through taxes, and some of it is definitely relevant to people.

Most developed countries have laws of information access, and developing countries are following their examples. The governments (and their organs) then have the duty to provide the information requested by citizens, and even foreigners sometimes. In the case of Chile, we have the transparency law (República de Chile, 2008). It mandates government agencies to actively publish a set of public information, and also to diligently fulfill requests from citizens. We will give a deeper view into transparency in section 1.1.

Now, with this information, people could examine the data to find something of their interest (e.g. how much is senator X spending from public funds?), or do some basic analysis on it (e.g. what is the average family income in different regions of the country?). More importantly, they can better analyze the data by mixing it with other datasets, either from the same government, or from other sources (e.g. how does the family income correlate with education quality in the region?). This can only be done with reasonable effort if the data is in computer-readable formats. More on formats is discussed in section 1.3.

It’s also important to know precisely what can be done with the data. If it’s suitable for commercial use, or what are the terms for redistribution, or whether attribution is required in derivative works. In section 1.4 we’ll discuss the licensing issues.

The information needs to be accessed somehow. Also it should be easy to find. Section 1.5 introduces the topic in more detail.
Our objective in this investigation consists of three parts: first, to analyze the state of the art in OGD, both in the scientific community and in real implementations; second, to distill the experiences and proposals from the first part into a set of good practices and recommendations specifically targeted for Chile; and third, to develop a simple application using data from Chile’s OGD repository.

1.1. Transparency

In democratic societies, we expect our governments to be transparent about their doings. We increasingly demand more and more information from them. Some of it for the sake of accountability, some for the evaluation of government performance, some for assessment of the status of the country in various matters.

Governments have always dealt with data. For example, censuses have been recorded since ancient Egypt, Greece and Israel. Budgets, economic indices, personnel listings, etc. Lately, however, a trend in transparency has been observed. Transparency laws have been proposed and passed in most developed and developing countries, and citizens are exercising their right to access information.

In Chile, since 2008 we have the transparency law. It mandates most government bodies and state organs to actively publish some of their data, and to respond to requests for information. But, more importantly, it deems public all the information in the hands of public administration organs, unless subject to some exceptions protecting privacy, national security, and other few cases.

Transparency can benefit everyone. By exposing everything to the public, inefficiencies can be spotted, corruption can be detected, and the state of public affairs can be assessed. In the example of public data usage on section 2.4 we analyze the state of primary and secondary education, not only public but also private. The same could be done using public health data, or related to economic activities, or regional development.

These analyses we mention are probably already being done by the government itself. But most of them remain in the dark, or are only used as decision support and then
archived. This information could be valuable to some organizations, even to businesses. Let’s put a hypothetical example: the interior ministry can extract data from complaints of energy theft, to instruct the police forces accordingly. But this same information could be very valuable for utility companies, to help them decide whether to install regular cabling, or the more expensive anti-theft cables, in different neighborhoods. This is one example, in millions, in which businesses can harness the power of public data.

Anyway, the main reason behind transparency law is administration accountability. Taxpayers want to know what is being done with their money. They want the resource usage to be efficient. They want to spot corruption and money leaks. That’s why practically every transaction done by the administration is now open to public scrutiny. And there have been scandals, and corrupt transactions have been discovered, and people have been processed.

The benefits of transparency are obvious at this point. In the next section, we’ll introduce the discussion on why transparency is not enough.

1.2. Open Government Data

Transparency is good. We want to know about what the government is doing for our country, how it is spending our resources, and the results obtained. However, even if this information is presented to us, there’s always the doubt whether the analyses were correct, or if they took in account this or that factor, or how the current results compare to previous years.

There’s many possibilities that are not explored with due diligence. And, of course, it’s impossible for the government to explore them all; they cannot cover every citizen’s interest, or every research area. That’s one reason why governments should spend less time and effort presenting data, and should focus more on giving the data itself, as Robinson et al. propose (Robinson, Yu, Zeller, & Felten, 2009). The government isn’t always the best candidate for processing and presenting data. There are actors in the private sector who are better suited to work with the data, and, to some extent, release the government from
that responsibility. As Robinson writes it: there’s a wide gap between the exciting uses of Internet technology by private parties, on the one hand, and the government’s lagging technical infrastructure, on the other.

This all pushes towards the release of public data in raw, opening up the possibilities for yet unimagined uses, powering applications which would benefit all, and uncovering facts that were there, but the public sector could never discover.

1.3. Data formats

The first approach to transparency in Chile was to publish everything in PDF files. This worked great for publishers and citizens alike, at least for a while. PDF is a portable, standard format, free readers and creators exist for every major platform, and, most importantly, people are familiar with it. The one, big drawback of PDF is that it’s almost the same as paper. Works great for viewing, sharing, printing, but taking the raw data out of it is impossible, or, at the very least, impractical.

When transparency information consumers wanted to analyze the data, they had to resort to the old-fashioned manual methods. This was probably fine for them at the beginning. But then, when information volume started to grow, and the level of detail increased, the question arose: if authorities produce these documents from digitally stored raw data, wouldn’t it be easier for everyone to work with that very same raw data?

This is where Open Government Data (OGD) initiatives becomes relevant.

We’ll use the 5-star ranking for open data on the web, proposed by Sir Tim Berners-Lee (Berners-Lee, 2006). This ranking goes from 1 star for putting data on the web, in whatever format, to 5 stars for fully linked data. By publishing PDFs, most of Chile’s published documents is already rated at 1 star. 1 star rating requires the information to be released under an open license. While some of Chile’s data is published under Creative Commons Attribution license, some of the documents are published without specifying
any license at all. A good example is Chile’s OGD portal\(^1\), which clearly states the license for each dataset.

This brings us to the 2-star rank: machine-readable data. One of the most frequent uses that citizens or organizations give to OGD datasets is analysis and computerized processing. Usually, interesting datasets are big, and obviously impossible to analyze by hand. For example, for the application described in section 2.4, we display 13,000 schools, and some of the datasets behind the score have over 370,000 records. This would definitely be impossible to analyze manually. It’s very desirable, then, that datasets are published in machine-readable formats, whatever that is. In most cases, the data to be published is originally stored in some sort of database or spreadsheet, so the cost of publishing it in that very same format is negligible. We’re not talking about specific formats for 2-star rank, just any that is machine-readable will suffice. Even if it’s proprietary, rare, obsolete, or if it can only be read with one specific program.

Of course it would be better to have data in open, standard formats, and that’s precisely the third star in the ranking. Instead of putting spreadsheets in Microsoft Excel format, why not put it in CSV? Or Open Document Foundation’s ODS? This simple conversion, or similar ones for other data sources, can benefit the users of OGD. Open and standard formats allow developers to easily read data, parse it, and process it. Usually, the conversion process is very straightforward. Most database engines, spreadsheet software, and statistical analysis tools have the options to export data in open formats. The most common and convenient are CSV for tabular data, and XML or JSON for semi-structured data.

Now a new problem arises. For example, if in the data, in the location field for a record, we find “Santiago”: does it mean the city of Santiago, Chile? Or maybe it’s the whole province of Santiago. Or the Metropolitan Region of Santiago. Or maybe it’s Santiago, Cuba, or any other Santiago. It’d be nice to know precisely what Santiago it’s referring. Another example can further illustrate the issue: in one dataset for schools,

\(^1\)http://datos.gob.cl
we can have a field named “principal”, referring to the school’s principal; but another dataset may have “school_principal”, another “director”, and many other variations. If we were to combine these datasets, we’d have to map the fields by hand. This task is usually doable for medium-sized dataset, but it makes automatic data retrieval and combination practically impossible. This problem is recurrent, and it has more than one solution, but the gold standard is Linked Data, also known as “the semantic web”.

1.3.1. Linked Data

In essence, Linked Data consists of representing the information as RDF triples: subject, predicate, object. For example, Cheeta is a monkey, and Monkeys eat bananas. This permits some obvious reasoning, like Cheeta eats bananas. But the real trick is the use of identifiers. All three components of the triple are uniquely identified. The most common way to do this is by using URIs\(^2\). Using URIs for entities has the added benefit of knowing where to find additional information for them: usually an HTTP query on the entity’s URI results in more data, further linking it to other entities and completing the information.

This, in the context of OGD, enables a few key features: reasoning, extensibility, and composability.

- Linked Data engines allow reasoning over the data. This opens the way for complex queries which are not easily attainable using traditional databases. The SPARQL query language, the de-facto standard, provides a very simple interface to the reasoning power of RDF data stores.

- Linked Data is usually produced framed in a model, called an ontology. The ontology defines the concepts in its domain, and describes the relationships between them. Linked Data ontologies (which themselves are represented as linked data), can be extended simply and effortlessly. A new ontology can be created on top of an existing one, without needing to rebuild it, and keeping all

\(^2\)Uniform Resource Identifier, such as http://dbpedia.org/resource/Santiago for the city of Santiago, Chile.
the semantic relationships with the existing one. This enables the incremental creation of ontologies and data, without the risk of obsolescence, and without the cost of future-proof design. An application of this will be discussed in section 2.3.2.

- Finally, Linked Data is, in its essence, composable. The same features described for the extensibility allows us to create mappings between otherwise unrelated ontologies. This, in our opinion, is really the decisive factor in favor of Linked Data. It allows any mapping of public or private databases, and unlocks inference engines to walk all over the data corpus and reason over everything within their reach. The open nature of the web, both philosophical and technical, enables seamless integration and composition of data from diverse sources. On this matter, Maali et al. (Maali, Cyganiak, & Peristeras, 2010) started working on common vocabularies to link datasets to, making them interoperate effortlessly.

These features of Linked Data, together with the open nature of the web, can really help unlock the potential of OGD. Even two years before data.gov.uk and data.gov were launched, researchers were already reaching this conclusion (Alani et al., 2007).

One isolated study of Linked Data for public sector information in Chile, specifically in the Library of the Congress, has come to good conclusions: the benefits are there, the process is feasible, and their solution works (Cifuentes-Silva, Sifaqui, & Labra-Gayo, 2011).

1.4. Licensing

As we already discussed, the matter of OGD is new. Public data has been around for a short time, and before that, everything was behind closed doors. Data was available to people inside the government, or to select researchers, usually under non-disclosure agreements and for specific purposes. When data began to be released to the public, no use license was specified. So possible uses for the data were still unclear. The wording in
the transparency law (República de Chile, 2008) section 11 c, loosely translated as “Prin-
ciple of openness and transparency, under which all information held by organs of state
administration is presumed public unless subject to the exceptions noted.’, just declares
the information “public”, so it’s not specific about the possible uses, required attribution,
nor any word is said about commercial uses of the information.

It’s very important for data users to have a specific license to outline their rights and
to formally state the requirements for using the data. Currently, Chile’s OGD portal of-
fers most of its datasets under Creative Commons Attribution license, which essentially
requires products, commercial or not, to cite the source of the data. There are a number of
suitable licenses for OGD, as recommended by the Open Knowledge Foundation (Open
Knowledge Foundation, 2011): the Creative Commons licenses such as CC-BY (Creative
Commons, n.d.), or, specifically crafted for Open Data, ODC-By (Open Data Commons,
n.d.-b), ODbL (Open Data Commons, n.d.-a), or dedication to the public domain using a
license such as PDDL (Open Data Commons, n.d.-c).

1.5. Access to data

We will discuss, as well, the different means for accessing the data. Historically, each
data producer published its own data. In Chile, under the current transparency law, this is
still the case. Every administration organ has to actively publish its mandated data, and
respond individually to requests, only based on the data available to them.

While this is intuitive and maybe obvious, it makes the process of finding data dif-
ficult and slow. In the search for data, users have to navigate through diverse interfaces,
accessing data cataloged in many different ways, under different licenses (if at all).

That’s why recent efforts on OGD have emphasized the existence of a single reposi-
tory of data. In such repository, data would be consistently cataloged, described, tagged,
and made available in common formats. All datasets would be available under the same
license, or at least the license for each dataset would be clearly indicated.
Continuing with the ideal OGD repository, in the progress towards Linked Data, all datasets would use a common vocabulary for common terms, or at least would provide mappings to a common ontology, and would also provide a single query interface to operate over the whole data corpus. This is the direction in which the US and the UK are moving. They already have in place their central OGD repositories, even if not perfectly complete. The UK has a Linked OGD project well on its way, as will be noted in section 2.2.1.1, and they are already providing some SPARQL query endpoints to end users and developers to work with the Linked Data they release.

Coordinating all offices to work in a single common repository can be challenging. Every administrative organ has its own internal workflows and rules, and it can be difficult to adapt all of them to embrace the standards defined. However, in the pursuit for a good OGD ecosystem, the benefit of a single repository vastly outweighs the difficulties.
Chapter 2. OPEN GOVERNMENT DATA IN CHILE

The following chapter is a paper, submitted for publication in the Journal of World Wide Web.

2.1. Introduction

Every government, in its regular functions, has to work with data. Budgets, statistics, personnel, providers, contractors, and many other everyday objects and actions are represented, stored, transmitted, processed and transformed digitally as data.

Every government, also, has a duty of being transparent to the people it governs. We’ve seen a huge trend in this direction. Most countries have laws that enforce transparency and freedom of information access. In Chile, we have the so-called Transparency Law (República de Chile, 2008), which mandates every governmental organization to publish some information, and to diligently provide the information requested directly by citizens (with the obvious exception of secret data).

2.1.1. Current state of transparency in Chile

The transparency law has noticeably pushed transparency forward, in central, regional, and communal governments. They have made available many budgets, financial reports, personnel listings, acts, regulations, and other documents. It’s clear that a big effort has been put in this task. However, usually all we can get is just PDF documents with tables in them, or even scanned PDFs of printed documents.

The Commission for Probity and Transparency\textsuperscript{1} recently surveyed a sample of 71 central government agencies, to find an average 96\% of transparency law compliance (Comisión de Probidad y Transparencia, 2012). On the other hand, a recent survey conducted by the Transparency Council\textsuperscript{1} in Chile showed that, on average, municipalities

\textsuperscript{1}The Commission for Probity and Transparency is part of the central government, and channels the government’s effort towards transparency. The Transparency Council, on the other hand, is an autonomous corporation, created by the transparency law, which promotes, guarantees and helps regulate everything related to transparency and information access.
comply only with 30% of what is required by law, although some reach up to 96% compliance (Consejo para la Transparencia, 2012; Pérez, 2012). Unsurprisingly, low income regions are the poorest performers.

2.1.2. Open Government Data

Transparency works well enough if we’re only interested in the information itself. For example, if we want to investigate a particular contract, or find out the salary of the city mayor. But what if we want to analyze the data? Or if we want to aggregate data from one source with datasets obtained elsewhere? In all these cases transparency is not enough, and we need a more profound change. Thus, the next step in the openness direction is Open Government Data (OGD).

The basic, primitive OGD principle states that if the government has data that belongs to the public, then it should be published. If possible, in open formats, or, even better, as Linked Data, but at least it should be published in its original form. Tim Berners-Lee has created an excellent 5-star ranking scale for Linked Open Data (Berners-Lee, 2006), starting from simply publishing data with an open license, and up to publishing fully linked data.

As expected, OGD must be open. The publisher must put the data under an open license, to allow the use, combination, transformation, and possibly redistribution of the data. Good examples of open licenses for OGD are CC-BY (Creative Commons, n.d.), ODC-By (Open Data Commons, n.d.-b), ODbL (Open Data Commons, n.d.-a), or even in the public domain using a license such as PDDL (Open Data Commons, n.d.-c) or similar. These are the licenses recommended by the Open Knowledge Foundation (Open Knowledge Foundation, 2011).
2.2. Current state of OGD

2.2.1. OGD in developed countries

Developed countries have gone a long way to reach the current state of their OGD initiatives. Most notable examples are the United Kingdom and the United States of America.

Barack Obama, on the first day as president of the USA, in 2009, issued a memorandum for the heads of executive departments and agencies, pushing forward transparency as a key objective of his administration (Obama, 2009). This directive, under the former US CIO Vivek Kundra, gave birth to Data.gov\(^2\), the US OGD repository. Around the same time, in the UK, Tim Berners-Lee and Nigel Shadbolt were appointed to oversee the creation of data.gov.uk\(^3\). Its beta version launched on September 2009, with great appreciation from the public, and when it launched officially, in January 2010, it had more than 2,400 registered developers using the data and providing feedback.

As of May 2012, Data.gov had about 4,500 raw datasets, and 385,000 geospatial datasets. Data.gov.uk, on the other hand, had about 8,400 datasets\(^4\). Citizen-developed applications and uses range from comparisons between obesity, smoking, exercising, and healthy eating in the US; to crime statistics in the UK, to a mobile application which finds the nearest pharmacy.

2.2.1.1. Linked OGD

An interesting trend, which comes from the academic community and is increasingly gaining traction in the government sector, is the use of Linked Data principles in OGD. The full potential for Linked Data is yet to be uncovered with time, but some of it has been explored (Alani et al., 2007). OGD is probably one of the areas which Linked Data can benefit the most, both technically and in its uses (Shadbolt, O’Hara, Salvadores, & Alani, 2011). Most notably, the US and UK portals for OGD already offer some linked datasets\(^5\).

\(^2\)http://www.data.gov
\(^3\)http://data.gov.uk
\(^5\)A summary of the US and UK Linked OGD initiatives can be found at http://www.data.gov/semantic and http://data.gov.uk/linked-data.
With Sir Tim Berners-Lee, and Professor Nigel Shadbolt guiding the OGD efforts in the UK, the advent of Linked Data into Data.gov.uk was just waiting to happen. Much of the early research on Linked OGD comes from Shadbolt’s work at the University of Southampton.

The United States’ Data.gov, in collaboration with the Rensselaer Polytechnic Institute, have put some effort into it, using some machine learning and crowdsourcing techniques to semantically annotate plain datasets (Ding et al., 2010a, 2010b; Erickson et al., 2011).

2.2.2. Progress of OGD in Chile and other developing countries

During the last year or two, a certain interest in OGD has been noticeable in the circles surrounding the Transparency Council. In this timeframe, the Chilean government, through the Commission for Probity and Transparency, has pushed towards the release of public data.

In mid 2011, a central OGD portal\(^6\) was launched, though only with a few datasets. About 1 year later, by the end of May 2012, only 51 datasets were available. Most of these were links to the websites of different government agencies, in which the user must navigate and obtain the data by himself, usually having to fiddle around with filtering options and export formats, all this in difficult to use, poorly designed interfaces.

We’ll discuss some of the issues encountered while using Chile’s OGD in more depth in section 2.4.

Some important initiatives in which Chile and other developing countries (mostly latin american) are involved are listed below:

- The UN Economic Commission for Latin Ameria and the Caribbean (ECLAC, or CEPAL for its spanish name) has published a document aimed towards wider adoption of OGD policies in Latin America and the Caribbean (Concha & Naser, 2012). This document shows some of the potential benefits of OGD

\(^6\)http://datos.gob.cl/
in the context of L.A., collects some lessons learned from other countries, and shows some good practices that would help OGD take off in the region.

- The World Wide Web Foundation has partnered with the International Development Research Centre, and the Berkman Center at Harvard University to establish an agenda and support the research on OGD in Latin America and the Caribbean.\(^7\)

- Several countries in Latin America, and more in the developing world, are participating in the Open Government Partnership (OGP).\(^8\) Besides Chile, other countries like Brazil, Colombia, Dominican Republic, Guatemala, Honduras, Mexico, Peru, and Uruguay are also participating and have already delivered their commitments. The OGP commitments are broader than just OGD, but they have a strong emphasis in transparency and accountability. OGP puts OGD as a keystone for achieving the transparency levels expected for the globalized world in the Internet era.

### 2.3. Implementing OGD in Chile

The implementation and development of OGD policies in Chile is already happening. Although, the current state is nothing but a small collection of already-available links to data sources in heterogeneous formats, and with absolutely no semantic coherence. The transparency law already paved the way in regulation terms, but from the technical side there’s still much work to be done.

On the broader topic of OGD feasibility, the Web Foundation and Fundación CTIC already conducted a very thorough study (Alonso et al., 2011), concluding that it’s perfectly feasible. Their study is mostly focused on the government itself, its will to implement OGD, the possible difficulties, and the regulatory environment; they cover most of the

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\(^7\) More info on this partnership can be found at their respective websites, [http://www.webfoundation.org/projects/odrs/](http://www.webfoundation.org/projects/odrs/) and [http://www.idrc.ca/EN/Regions/Latin_America_and_the_Caribbean/Pages/ProjectDetails.aspx?ProjectNumber=106286](http://www.idrc.ca/EN/Regions/Latin_America_and_the_Caribbean/Pages/ProjectDetails.aspx?ProjectNumber=106286).

\(^8\) [http://www.opengovpartnership.org/](http://www.opengovpartnership.org/)
politics and non-technical aspects. The facts have proved them right: OGD is now happening.

We’ll try to delve a little bit into the technical aspects of OGD, particularly in the context of Chile, but applicable to a broad range of developing countries. We’re not trying to set standards or guidelines, but rather expose what we believe are good practices that will help countries create active, high quality OGD ecosystems.

2.3.1. Learn from other countries’ experience

In science, and open source software, to “stand in the shoulder of giants” is the rule. We think that it should be the rule in many other areas as well, including OGD. A lot of work has been done by others, not only in the scientific side, but also in the technical aspects of OGD. And of course the legal, administrative, and governmental organization sides have been extensively explored too (Concha & Naser, 2012; Alonso et al., 2011).

The two main exponents, at least from the technical side, are the United States and the United Kingdom, as they started their OGD initiatives before any other country. The experience gathered by data.gov and data.gov.uk is most valuable, and will certainly help other countries avoid some (or most) missteps along the way. Their extensive developer community has already hit the most common roadblocks, and can provide good feedback on what they like or miss.

It’s true, every country is different. Governments are different, societies are different, needs are different. But data is just data, anywhere, so don’t try to reinvent the way data is published, but rather learn from other countries’ successes and failures.

2.3.2. Start small

Current software development methodologies are steering away from clearly-defined-from-the-beginning specifications towards build-as-you-go products. These new tendencies on agile software development allow software products to effortlessly change according to their users’ needs. This also allows software to get real-world usage feedback earlier in the development of the product, gaining valuable time and effort.
OGD should follow the same methodology. We’re not dealing with life-critical systems, but rather with tools that help people analyze data. We won’t know for sure how people will use the data until they start using it. So it’s best to publish the data as soon as possible, and then, based on the real usage, focus the efforts on what is needed the most.

One particular example of starting small goes for Linked OGD. Publishers don’t need to model the whole domain of the data they ever plan to release. Instead, the consensus in the scientific community is that small models (ontologies) should be created, specific to the domain of each data producer (Alani et al., 2007), (Shadbolt et al., 2011). Later these models can be expanded and interlinked with others, collectively growing to a more general model, but keeping each unit small, manageable, and maintainable.

2.3.3. 5-star ranking: point to the 3-4 star

The semantic web potentials are huge, so it’s very desirable that all data is fully linked, using standard terms for common concepts, and linking to well-known databases for cities, regions, organizations. However, the effort to reach that level of quality is too big, and the real benefits are still not evident. And as we pointed out in section 2.3.2, it’s better to get the data out in the wild soon, than perfecting it and wasting all that time in which the data could have been given good use. So, following the 5-star ranking by Berners-Lee (Berners-Lee, 2006), we believe that Chile (and similar countries), to get a useful OGD corpus, should start publishing 3-star data, and gradually move select datasets to RDF and link them to get 4 and 5-star.

2.3.4. Ease of access: the importance of the single data repository

Journalists, developers, or common citizens looking for data, are currently forced to go through every data publisher related to the topic they are investigating. Within the publishers’ websites, they have to navigate, often through overly complicated interfaces, to find what they need (if it is published at all). Then, when they finally get the data they were looking for, they find it in diverse formats, under different licenses (if any specific
license), and in the end they often need to manually pre-process the data to get into a usable shape.

This process can be dramatically simplified by simply putting datasets in a single repository, tagged by category, topic, origin, format, etc. They can be put under a single, clear license, preferably open enough to allow commercial use. And finally, data producers can be asked to upload the data in a few preferred formats, or at least batch transform common formats to their open counterparts (e.g. Excel to CSV or XML).

Then, with the coming of Linked OGD, this single repository of data can be used as a base for common terms, and as a place for mappings between different ontologies. Also, it’s a good place for a SPARQL endpoint, which can query and reason on the whole OGD corpus.

2.3.5. Awareness is essential for adoption

It’s important to show the benefits of open data to decision makers in the government, so it’s necessary to get as many people as possible on board the OGD train. We believe that considerable effort should be put into boosting OGD adoption in developers and journalists. Developers can find interesting ways to process, combine, analyze, and display the data, and journalists can expose interesting facts to wider audiences, again gaining more exposure for OGD and feeding the loop. Some ways to achieve this would be to organize app contests, hackatons, and give incentives for data-driven apps and data journalism.

Summarizing: for OGD to gain more support from the government, it's essential that the community shows interest, and that they make something with the data as it becomes available.

2.4. Putting the data to work

As a real world experiment, we developed a web application based on some datasets from Chile’s OGD catalog. Since the catalog is scarce, we opted for the most complete group of datasets. The Ministry of Education has data available about every school in
Chile, geo-referenced, and contains grade averages, financing, university admission test scores\(^9\), attendance summaries, pass/fail/dropout statistics, and others.

The application consists of a map, with markers on every school. The markers are colored in a red-to-green scale based on a normalized score computed from the test data available. It also shows the school administrative category in one letter\(^10\). This visualization clearly shows areas in which the scores of the schools are very poor, and vice versa\(^11\).

2.4.1. Getting the data

We will describe the process of obtaining the data, and getting it ready for use. First of all, part of the goal was to only use data reachable through Chile’s OGD portal. From the around 50 datasets, about half were from the ministry of education. The quality of the data was pretty good, relatively up-to-date, having data up to 2010.

Even if the data was accessible from Chile’s OGD portal, they only had a link to the ministry of education data portal\(^12\), which offers it in Excel and CSV, and an undocumented API which offers some search functionality and more formats such as XML or JSON. One note on the formats, though: XML are in a very verbose, Microsoft-based schema, so in-memory processing is impossible for medium-to-large datasets. CSV files are improperly escaped, so commas within fields misalign the rest of the row. It seems that the tools used to manage the databases are a bit precarious and not very user friendly.

2.4.2. Preparing the data

So, once we got our hands on the data, we needed to prepare it for use. Normally one would want the data in a relational database, or some form in which it can be queried, operated on, etc. First the data had to be normalized, separated, and distributed in tables. Different tables had much of the same data duplicated, e. g. school names, locations,

\(^9\text{Chile has a standard University Selection Test (PSU, Prueba de Selección Universitaria).}\)
\(^10\text{Schools in Chile can be Municipal (state-owned), private but financed by the state partially or completely, or private.}\)
\(^11\text{Please note we’re not trying to relate this score with school quality. The score only reflects the performance on the tests mentioned before.}\)
\(^12\text{http://data.mineduc.cl/}\)
types, were present in all datasets, instead of having a different table with just school names and data, and then referring it from the other tables. Also it’s important to note that not all data was available for all schools, we guess some of it was due to privacy concerns (you don’t want to publish the average test score for just 3 students), some due to unavailability or other unknown reasons; it may be useful to know why a particular piece of data is missing.

2.4.3. Displaying the data

Using the before mentioned data, we built a simple web application based on the OpenLayers\textsuperscript{13} Javascript library, and OpenStreetMap\textsuperscript{14}, overlaying the colored markers on it. A part of the map can be seen in figure 2.1.

\textbf{FIGURE 2.1.} Map of schools in downtown Santiago.

This map provides an intuitive visualization for the data. It shows, through the colors, a general notion of school scores in different areas, and makes easy to query the data for a particular school by clicking its marker.

While this application was created simply as a proof-of-concept, it is definitely useful to some groups. What’s remarkable is the simplicity and short development time it took: about a week from concept to working state. The short time is mostly due to the great

\textsuperscript{13}http://openlayers.org/

\textsuperscript{14}http://openstreetmap.org/
visualization tools freely available, and the simplicity of data manipulation in modern languages such as Ruby. This shows that simple yet effective apps can be created quickly and easily, after the data is available, thus showing the great potential for OGD in the developer community.

2.5. Conclusions

First and foremost, OGD is beneficial for society. It is an evolution for transparency, and enables tools to better analyze and visualize what the government is doing and what’s happening in the country.

This evolution in transparency depends on three main actors: the government, developers, and the press. Government, of course, provides the data, developers make the data analysis and visualization tools, and the press shows interesting results and hosts discussion on important topics.

A keystone for the development of the OGD ecosystem is the choice of formats. Simple, open, and interoperable formats are the best way to encourage data usage, and the effort required to publish data in suitable formats is minimal. Linked OGD presents a bigger challenge, and should be taken seriously, but it’s worth the effort, as it enables much better analysis and understanding of the data.

OGD projects should start as soon as possible, no matter how many datasets will be available at the beginning. This permits a quick feedback loop from the community and allows the government to evolve in the direction that the people need. Linked Data structure allows it to incrementally grow and improve, thus easing the initial release to the level of a basic semantic annotation and object identification.

All these benefits don’t come without its dangers. Data-based journalism very frequently lacks sound statistic methods, and can lead to wrong conclusions. It’s also important to raise the awareness on the matter, and encourage analysts to share their methods.
for analysis, so errors can be detected and, in general, data journalism quality can be improved. The selection of data analysis tools, and their proper use, is essential to getting correct and sound analysis, together with open and transparent analysis methods.
Chapter 3. CONCLUSION AND FUTURE RESEARCH

Open Government Data is going to induce a change in democracy. Being able to independently analyze and use the data will change the political environment around the government. Governments will lose the monopoly of information presentation. Obviously they will still be providing the data, but concealing truths is going to be a lot more difficult. And citizen participation will change. With the new analysis power given through OGD, concrete propositions will start surfacing from the people. Democracy will now become even more democratic.

3.1. Results and lessons learned

Going over what has been done for this investigation, we went through 4 main stages:

- We researched on the state of the art in the field of open data, government transparency, and, the combination of both, open government data.
- We assessed the status of transparency and OGD in Chile.
- We developed some recommendations and good practices.
- We built an application on top of real world datasets published in Chile’s OGD portal.

Each of these stages led to its own set of partial conclusions and learned lessons. We’ll describe them individually.

3.1.1. State of the art

First of all, we saw the real magnitude of the data web. We think Tim Berners-Lee masterfully describes it in his TED talk in 2009 (Berners-Lee, 2009). It’s like the Web revolution, 20 years ago, to the next level. The possibilities are endless.

Currently, the data web is, to say the least, disperse. There’s datasets all over the web, in open and proprietary formats, under permissive and restrictive licenses. But most of
it isn’t linked (in the ‘Linked Data’ sense of linked). There’s projects like DBpedia\(^1\) and TWC LOGD\(^2\), and they really make the web go forward, but we’re not quite there yet.

We learned the state of transparency in other countries. Developed countries have some history in their transparency. They all (or most of them) have freedom of information access laws, people exercise their rights. Non-governmental organizations exist, and they work with transparency data, and they propose ideas, and publish analyses. We are, in Chile, on the right track. See section 3.1.2 where we discuss further the case.

And more importantly, we saw how open data meets transparency, and leads to OGD. In the last 10 years the idea has been gaining strength in the academia. The benefits have been discussed, the risks assessed, and the technical challenges analyzed. This has enabled the current existence of OGD in many countries.

### 3.1.2. Transparency and OGD in Chile

Focusing the research in Chile has given us deep knowledge on how Chilean government works in regards of transparency, the legal framework, the implementation phase, and the current state of adoption. At some levels, the adoption is really good, for example some ministries have in place web-based request tracking systems, with automated management according to response deadlines (imposed by law), etc. On the other hand, some municipalities barely have a website, and don’t comply even with the minimum required by law. We think, after 7 years of the law being in effect, that still some time and effort are needed for transparency to reach a good state.

We met with people in the government, in the commission of probity and transparency, to discuss the current state of OGD in Chile, and the ongoing projects. By that time, the OGD portal datos.gob.cl still hadn’t launched, it was just a project. There’s definitely interest from the president, and his team, in pushing OGD forward. The project has made

\[^1\]http://dbpedia.org/.
\[^2\]Linking Open Government Data, of the Tetherless World Constellation, at the Rensselaer Polytechnic Institute, http://logd.tw.rpi.edu/.
a lot of progress, not without difficulties, and its future looks promising. We expect to see a lot of developer and researcher interest in OGD in the following years.

In the process of assessing the state of open data in Chile, we came across the Library of Congress, with an ongoing effort to publish all regulations and laws, in the web, as linked data. Their project has been very successful, and it accomplished a very important objective, which probably wasn’t foreseen when they started: they proved that it’s possible, using Chilean talent and knowledge, to build a project of that characteristics and magnitude.

It is also worth mentioning a non-governmental organization which, in our opinion, is a strong influence in the topics of transparency and OGD in Chile: Fundación Ciudadano Inteligente. Particularly, Acceso Inteligente (Intelligent Access) gathers requests of information from citizens, and displays them online. In their catalog, there are request ranging from environmental studies of drinking water, to the salaries paid in one municipality, to the breweries with approval from the ministry of agriculture.

One project by Fundación Ciudadano Inteligente caught our attention. It’s one which explicitly makes use of government raw data (although not so open by the time it was created), and plots the location of cellular antennas in the country.

3.1.3. Recommendations and good practices

Having seen the two sides of OGD, the state of the art and the current state in Chile, we started the task to propose some good practices, or what we believe are good ways to make OGD work.

These recommendations were elaborated based on the scientific literature, and other countries’ successes and failures in their OGD experiences. All this, taking into account

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3 More about this foundation can be found in its website http://www.ciudadanointeligente.cl/.
4 See http://www.accesointeligente.org/.
5 The result can be seen in this website: http://senalalo.ciudadanointeligente.cl/.
the idiosyncrasies of Chile, the technical background, the political landscape, and always towards a better OGD implementation.

Some of them were obvious, like learning from other countries. They’ve ‘been there, done that’, and they succeeded. Aiming for Linked OGD is also very desirable, seeing the future of the web built on top of it. The potential benefits we’ve seen grossly outweigh the costs. Publishing Linked OGD from day one is impossible, so we applied agile software development methodologies to OGD: build incrementally. We saw the success of countrywide OGD portals and immediately derived two conclusions: the benefit (or the need, really) of data being in one common place, and the importance of getting as many users on board as soon as possible. This is what has been fueling the success of US and UK OGD portals.

3.1.4. Application

In the final stage, where we developed the application using OGD, we faced the real-world issues of the current state of OGD in Chile. We had to deal with the suboptimal OGD site of the Ministry of Education, its all-but-intuitive interface, and its data. As we already described in section 2.4, the data quality was not perfect, nor complete. But it was enough to build an interesting application, which hopefully will help people visualize the state of education in Chile.

Another problem we found, is that data is often inconsistent with previous data. They use different semantics, different parameters, different variables measured, and that leads to datasets that cannot be compared easily year-on-year. There are some exceptions, of course, but more than raw data, they appeared to be reports crafted in the form of data, instead of raw unprocessed data.

In any case, there’s definitely a lot of potential in the data that’s available. Chile is taking a very good direction in OGD policies, and we expect to see, very soon, a thriving and healthy ecosystem around data.
3.2. Future work

Being OGD so multifaceted, there’s work to be done in every aspect of it. We’ll propose future lines of work in two parts: those related to computer science, and those in other fields.

3.2.1. Computer science

We think there are at least four big areas in computer science to expand the research: theory of databases and web science; uses of data, applications, and statistic analysis; user interface, cataloging, and search of datasets; and better, easier data analysis tools.

In theory of databases and web science, a lot is going on presently. RDF, the base for Linked Data and the “Semantic Web”, is still not completely studied. SPARQL, the query language, is just being formally defined. Algorithms, optimizations, everything is just beginning to take form. There’s also room for studying the appropriate schemas and structures for data storage, according to different kinds of data. Geospatial data, very abundant in OGD, is a relatively new topic, and still immature.

For the uses of data, there’s a virtually infinite universe to explore. With new data coming out constantly, there’s always the possibility to mix datasets, to do different statistic analyses, and to build applications — even businesses — based on OGD.

User interfaces for data catalogs have still a long way to go. Organizing a large collection of data, correctly categorizing it, and providing good search tools is still a somewhat unexplored territory. We hope to see advances in this area, because it’s probably the feature that would most promote the consumption of OGD.

Data analysis tools have a track record of being complex and very difficult to use. Normally only statisticians or specially trained people can effectively use these existing tools. If data is going to be more and more accessible, so should analysis. The design and creation of new, easier tools for the general public is key for the continuing development of the OGD ecosystem.
3.2.2. Other disciplines

More work should be put into the licensing issues. Particularly, on the results or products derived from public data. We mentioned the dangers of careless analysis without sound statistic bases in the paper’s conclusions (section 2.5). Some datasets may not be appropriate for some kinds of analysis, and the general public usually doesn’t know it. Drawing wrong conclusions from public data, intentionally or not, is a very real danger, and needs to be addressed. There are many options to be explored, and each must be weighed against the openness principle in OGD.

There’s also much uncertainty in the public administration topics. How organs should handle data, who should take responsibility, how to systematically address the privacy issues... all this, and much more, is part of the still-open question of OGD.
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